

Central Bank Deadlines for Pre-Christmas/Year-End Applications

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The Central Bank of Ireland (Central Bank) has issued details of its deadlines for receipt of applications (reproduced below):

- for approval of fund and sub-fund applications that have pre-Christmas or pre year-end approval deadlines (this includes self-managed/internally managed investment company/ICAV applications and risk management processes);
- for approval of post-authorisation amendments that have pre-Christmas or pre year-end approval or noting deadlines.

The Central Bank has furthermore requested to be provided with a list of proposed QIAIFS (including an estimated number of associated IQs) on a weekly basis from mid-November. Please let us know if you are considering any such application.

Deadlines for Pre-Christmas / Year-End Applications

Funds / Post-Authorisation	Deadline for receipt
FUNDS AND NON FAST-TRACK SUB-FUNDS (this deadline also applies to self-managed/internally managed investment company/ICAV applications and risk management processes).	Friday 13 October
FAST-TRACK* SUB-FUNDS	Friday 10 November
POST-AUTHORISATION – MAJOR ITEMS	Friday 13 October
POST-AUTHORISATION – ALL OTHER ITEMS	Friday 10 November

*A fast-track system can be used for clone fund and sub-fund applications and non-complex sub-funds established by way of supplement.

QIAIF Filings - Paper-Based Applications - Normal timeframes apply until 20 December

FOR AUTHORISATION / APPROVAL / NOTING ON:	Deadline for receipt
22 December	3pm on Wednesday 20 December
27 December*	3pm on Thursday 21 December
2 January**	3pm on Friday 29 December

*Wednesday 27 December is the first day after Christmas that funds may be authorised.

**Tuesday 2 January is the first day in 2018 that funds may be authorised.

QIAIF Filings - Automated applications (i.e through ORION) - Normal timeframes apply until 20 December

FOR AUTHORISATION / APPROVAL / NOTING ON:	Deadline for receipt
22 December	5pm on Wednesday 20 December
27 December*	5pm on Thursday 21 December
2 January**	5pm on Friday 29 December

*Wednesday 27 December is the first day after Christmas that funds may be authorised.

**Tuesday 2 January is the first day in 2018 that funds may be authorised.

QIAIF Change of Service Provider Filings ("COSPs")

Effective Date	Deadline for receipt
27 December 2017 - 1 January 2018	Monday 18 December

UCITS and RIAIF Authorisations/Approvals/Notings

Executed documentation for authorisations/approvals/notings required on 22 December must be received by 3pm on Thursday 21 December. Tuesday 2 January 2018 is the first day of 2018 that funds may be authorised/approved. Documents must be received by 3pm on Friday 29 December for such authorisations/approvals/notings.

REVOCATIONS

Funds seeking to revoke at end of December 2017 must submit a complete revocation application, including payment of the funding levy, by close of business Friday 15 December.

Individual Questionnaire (IQ) Filings

Normal timeframes apply until 24 November.

IQ Applications type	DEADLINE FOR RECEIPT of completed applications
IQs relating to QIAIFs	Monday 4 December
IQs relating to Other Fund Types and Fund Service Providers	Friday 24 November

For more information please contact Nollaig Greene or a member of the Asset Management & Investment Funds Team.

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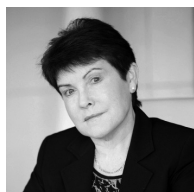


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