

Central Bank Deadlines for Pre-Christmas/Year-End Applications

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The Central Bank of Ireland (Central Bank) has issued details of its deadlines for receipt of applications (reproduced below):

- for approval of fund and sub-fund applications that have pre-Christmas or pre year-end approval deadlines (this includes self-managed/internally managed investment company/ICAV applications)
- for approval of post-authorisation amendments that have pre-Christmas or pre year-end approval or noting deadlines.

The Central Bank has furthermore requested to be provided with a list of proposed QIAIFS (including an estimated number of associated IQs) on a weekly basis from mid-November. Please let us know if you are considering any such application.

Deadlines for Pre-Christmas / Year-End Applications

FUNDS / POST-AUTHORISATION	DEADLINE FOR RECEIPT
FUNDS AND NON FAST-TRACK SUB-FUNDS (this deadline also applies to self-managed/internally managed investment company/ICAV applications.	5pm Friday 12 October
FAST-TRACK* SUB-FUNDS	5pm Friday 9 November
POST-AUTHORISATION – MAJOR ITEMS	5pm Friday 12 October
POST-AUTHORISATION – ALL OTHER ITEMS	5pm Friday 9 November

*A fast-track system can be used for clone fund and sub-fund applications and non-complex sub-funds established by way of supplement.

QIAIF Filings - Paper-Based Applications - Normal timeframes apply until Wednesday 19 December

FOR AUTHORISATION / APPROVAL / NOTING ON:	DEADLINE FOR RECEIPT
Friday 21 December	3pm Thursday 20 December
Thursday 27 December*	3pm Friday 21 December
Wednesday 2 January**	3pm Friday 28 December

*Thursday 27 December is the first day after Christmas that funds may be authorised.

**Wednesday 2 January is the first day in 2019 that funds may be authorised.

QIAIF Filings - Automated applications (i.e through ORION) - Normal timeframes apply until Wednesday 19 December

FOR AUTHORISATION / APPROVAL / NOTING ON:	DEADLINE FOR RECEIPT
Friday 21 December	5pm Wednesday 19 December
Thursday 27 December*	5pm Thursday 20 December
Friday 28 December	5pm Thursday 27 December
Wednesday 2 January**	5pm Friday 28 December

*Thursday 27 December is the first day after Christmas that funds may be authorised.

**Wednesday 2 January is the first day in 2019 that funds may be authorised.

QIAIF Change of Service Provider Filings (“COSPs”)

EFFECTIVE DATE	DEADLINE FOR RECEIPT
27 December 2018 - 1 January 2019	5pm Monday 17 December

UCITS and RIAIF Authorisations/Approvals/Notings

Executed documentation for authorisations/approvals/notings required on Monday 24 December must be received by 3pm on Friday 21 December. Wednesday 2 January 2019 is the first day of 2019 that funds may be authorised/approved. Documents must be received by 3pm on Friday 28 December for such authorisations/approvals/notings.

REVOICATIONS

Funds seeking to revoke at end of December 2018 must submit a complete revocation application, including payment of the funding levy, by 5pm on Friday 7 December.

Individual Questionnaire (IQ) Filings

Normal timeframes apply until Friday 23 November.

IQ APPLICATIONS TYPE	DEADLINE FOR RECEIPT OF COMPLETED APPLICATIONS
IQs relating to QIAIFs	5pm Monday 3 December
IQs relating to Other Fund Types and Fund Service Providers	5pm Friday 23 November

Investment Managers and ICAV Registration/Conversion/Migration Applications

The Central Bank also issued details of its deadlines for receipt of applications in relation to Investment Managers and ICAV registration/conversion/migration applications as follows:

REGISTRATION/CONVERSION/MIGRATION APPLICATIONS	DEADLINE FOR RECEIPT OF COMPLETED APPLICATIONS
Investment Manager Applications	5pm Friday 9 November
ICAV Registration/Conversion/Migration Applications	5pm Monday 3 December

For more information please contact Nollaig Greene or a member of the Asset Management & Investment Funds Team.

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