

## Entity Self-Certification

### Instructions for completion

We are obliged under Section 891E of the Taxes Consolidation Act 1997 (as amended) and regulations made pursuant to that section to collect certain information about each investor's tax arrangements. Please complete the sections below as directed and provide any additional information that is requested. Please note that in certain circumstances we may be obliged to share this information with relevant tax authorities.

Unless otherwise stated, all terms identified in italics are as defined in the Agreement between the Government of Ireland and the Government of the United States of America to Improve International Tax Compliance and to Implement FATCA (the "**Agreement**"), a copy of which is available on the Irish Revenue website at <http://www.revenue.ie> or at <http://www.revenue.ie/en/business/international/agreement-ireland-usa-compliance-fatca.pdf>.

If any of the information below about the Investor's tax residence or FATCA classification changes in the future, please ensure that we are advised of these changes promptly.

If you have any questions about how to complete this form, please contact your tax advisor.

**Investors that are individuals should not complete this form and should complete the form entitled "Individual Self-Certification".**

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### Section 1: Investor Identification

**Investor Name:** \_\_\_\_\_ (the "Entity")

**Fund Name:** \_\_\_\_\_

#### Registered Address:

Number: \_\_\_\_\_ Street: \_\_\_\_\_

City, town, State, Province or County: \_\_\_\_\_

Postal Code: \_\_\_\_\_ Country: \_\_\_\_\_

#### Mailing address (if different from above):

Number: \_\_\_\_\_ Street: \_\_\_\_\_

City, town, State, Province or County: \_\_\_\_\_

Postal Code: \_\_\_\_\_ Country: \_\_\_\_\_

Country of Incorporation: \_\_\_\_\_

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### Section 2: Specified U.S. Person:

Please tick either (a) or (b) below and complete as appropriate.

- a)  The Entity **is** a *Specified U.S. Person* and the Entity's U.S. Federal Taxpayer Identifying number (U.S. TIN) is as follows:

**U.S. TIN:** \_\_\_\_\_

- b)  The Entity is **not** a *Specified U.S. Person* (please also complete Sections 3 and 4)
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**Section 3: Declaration of Tax Residency**

(Note: Declaration of tax residency is requested in the context of the OECD Common Reporting Standard (“CRS”), an initiative to implement automatic exchange of financial account information on a global basis.)

Please indicate the Entity’s place of tax residence (if resident in more than one country please detail all countries of tax residence and associated tax identification numbers).

Country of Tax Residency	Tax ID Number

**Section 4: Entity’s FATCA Classification:**

**4.1 Financial Institutions:**

If the Entity is a *Financial Institution*, please tick one of the below categories, and provide the Entity’s *GIIN* at 4.2.

I.	Irish Financial Institution or a Partner Jurisdiction Financial Institution	
II.	Registered Deemed Compliant Foreign Financial Institution	
III.	Participating Foreign Financial Institution	

**4.2** Please provide the Entity’s *Global Intermediary Identification number (GIIN)* \_\_\_\_\_

**4.3** If the Entity is a *Financial Institution* but unable to provide a *GIIN*, please tick one of the below reasons:

I.	Partner Jurisdiction Financial Institution and has not yet obtained a <i>GIIN</i>	
II.	The Entity has not yet obtained a <i>GIIN</i> but is sponsored by another entity which does have a <i>GIIN</i> Please provide the sponsor’s name and sponsor’s <i>GIIN</i> : Sponsor’s Name: _____ Sponsor’s <i>GIIN</i> : _____	
III.	Exempt Beneficial Owner	
IV.	Certified Deemed Compliant Foreign Financial Institution (including a deemed compliant Financial Institution under Annex II of the Agreement)	
V.	Non-Participating Foreign Financial Institution	
VI.	Excepted Foreign Financial Institution	
VII.	U.S. person but not a Specified U.S. person	

**4.4 Non-Financial Institutions:**

If the Entity is not a *Financial Institution*, please confirm the Entity’s FATCA status below:

- I. The Entity is an *Active Non-Financial Foreign Entity*  or
- II. The Entity is a *Passive Non-Financial Foreign Entity*  (If the Entity is a *Passive Non-Financial Foreign Entity*, please provide details of any *Controlling Persons* (whose percentage of ownership is 25% or greater) which are U.S. citizens or resident in the U.S. for tax purposes. The term *Controlling Persons* is to be interpreted in a manner consistent with the recommendations of the Financial Action Task Force.

III. The Entity is an *Excepted Non-Financial Foreign Entity*

Full Name	Date of Birth	Full Residence Address	Details of Controlling Person's Beneficial Ownership	Tax Reference number

IV. The Entity is a *U.S. person* but not a *Specified U.S. person*

**Section 5: Declarations and Undertakings**

I/We declare (as an authorised signatory of the Entity) that the information provided in this form is, to the best of my/our knowledge and belief, accurate and complete.

I/We undertake to advise the recipient promptly and provide an updated Self-Certification where any change in circumstance occurs which causes any of the information contained in this form to be incorrect.

**Authorised Signature(s):**

\_\_\_\_\_

**Capacity in which declaration is made:**

\_\_\_\_\_

**Date: (dd/mm/yyyy):** \_\_\_\_\_