



goAML

Fund Industry Go Live Pack

10/05/2017



Background

- The goAML application is a fully integrated software solution developed specifically for use by Financial Intelligence Units (FIUs) and is one of UNODC's strategic responses to financial crime, including money-laundering and terrorist financing. FIUs play a key role in the fight against money laundering and terrorist financing as they are the central reception point for receiving, processing and analysing reports made by reporting entities in compliance with their respective anti-money laundering and counter-terrorist financing legislation.
- Such reports and other information gathered by FIUs often provide the basis for investigations into money laundering, terrorist financing and other serious offences. goAML is specifically designed to meet the data collection, management, analytical, document management, workflow and statistical needs of Ireland's Finance Intelligence Unit.

goAML will go live on 29th May 2017



Reporting Entity Responsibilities

- From June 12th, all communications between the FIU and Reporting Entities will be conducted through the message board function within the goAML application. It is therefore necessary that all Reporting Entities register as a user on this date or as soon as possible thereafter. Please see the accompanying document on web registrations.
- Notification emails will be sent to the registered users email account informing them that there is an unread item on the message board. All notification emails will come from goaml.donotreply@garda.ie



Reporting Entity Responsibilities

- Reporting Entities must ensure that this email address has been white listed.
- Reporting Entities must also ensure that <https://fiu-ireland.ie/> is added to the organisations “Trusted site list” to ensure users can access the application without issue.
- Reporting Entities must take care to ensure the correct email address has been used during the registration process. Failure to use a valid email address will result in a failed registration.



Changes to Reporting Entities current process flow

- From June 12th onwards, all suspicious transaction reports must be submitted electronically via the goAML website.
- Dual reporting remains a requirement and all Reporting Entities must submit STR's to both the FIU and The Office of the Revenue Commissioners.
- The Office of the Revenue Commissioners will accept a printed copy of the STRs submitted on goAML. Once printed, this report(s) must be posted to The Office of the Revenue Commissioners directly.



Changes to Reporting Entities current process flow

Reports can be printed by selecting the view icon once a report has been submitted.

The screenshot shows the goAML web interface. At the top, there is a navigation bar with options: NEW REPORTS, DRAFTED REPORTS, SUBMITTED REPORTS, MESSAGE BOARD, MY GOAML, and LOGOUT. The user is logged in as (Printtest) goAMLadminTest. Below the navigation bar, there is a section for Web Reports with filters for Start Date (17 April 2017) and End Date (17 May 2017). A table of reports is displayed below, with columns for Report ID, Report Type, Created By, Last Updated By, Created On, Org Name, Submitting Org, Transactions, Status, Submitted On, and #. The first row in the table is highlighted, and a red box highlights the view icon in the # column.

Report ID	Report Type	Created By	Last Updated By	Created On	Org Name	Submitting Org	Transactions	Status	Submitted On	#
23-0-0	STR	goAMLadminTestUser	goAMLadminTestUser	17/05/2017	goAMLadminTest	goAMLadminTest	1	Transferred From Web	17/05/2017	



Changes to Reporting Entities current process flow

- The submitted report will then be displayed.
- To print the report click on the print icon on the top of the page.

Web Slice Gallery

Expand All | Collapse All 

STR		23-0-0
Report Entity goAMLadminTest	Entity ID 4	Reporting Entity Branch
Reporting Entity Branch	Reporting Entity Reference	Submission Date 17/05/2017
FIU Reference		
Reason for Suspicion aaaaaa		
Action Taken		
Reporting Person		
▼ goAMLadmin TestUser		



Using goAML

goAML Web Domain

- The goAML application can be reached by entering <https://fiu-ireland.ie/> into the address bar of your web browser.

goAML Application Support.

- Should any member of the Reporting Entity encounter an issue with the goAML application, please notify a member of the FIU at your earliest convenience.
- Please use the Message Board for this form of communication if available.
- If the application is unavailable, the FIU can be contacted by email at fiu-ireland@garda.ie



Using goAML

Maintenance

- Maintenance and updates will be applied to the goAML application on a regular basis. During these periods, it may be necessary to take the application offline. Correspondence detailing this down time will be issued through the message board and will be provided 1 week in advance.

I.T. Notifications

- All entities must allow pop-ups on the goAML site.
- <https://fiu-ireland.ie/> must be added as a trusted site.
- The following browsers are supported; IE8 and above, latest version of Chrome, latest version of Firefox.



Using goAML

Forgotten Password Instructions

- Click Forgotten Password button on Login page.
- Enter your username, and email address.
- An email will be sent to that address with a reset link.
- That link will take you to a page where you must enter username, email address, and a new password, along with confirmation of that password.
- Click Change Password to confirm the new password.

User Management

- Each Reporting Entity is responsible for the management of its user base. This includes, adding new users to the application and removing users that no longer require access to goAML.



Using goAML

When Fund Managers register on goAML, the home page will look like the accompanying image.

GOAML (Fundmanager) Fund Manager

NEW REPORTS ▾ DRAFTED REPORTS ▾ SUBMITTED REPORTS ▾ MESSAGE BOARD MY GOAML ▾ ADMIN ▾ ? LOGOUT

Role Management
User-Role Management
User Request Management
Active Users
Org Request Management
Active Organizations
Reporting Statistics

The Financial Intelligence Unit is the arm of the global financial Intelligence Unit (FIU). It is part of the efforts of the Government in combating laundering, and financing of terrorist activities.

The core role of the FIU is that it serves as the country's central agency for the collection, analysis and dissemination of information regarding laundering and the financing of terrorism .

UNODC United Nations Office on Drugs and Crime

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UNODC



Using goAML

As the MLRO for funds will have responsibilities for a number of different entities, they can register these entities under the profile of the main entity. If they click on **Active Organisations** they will be Brought to the accompanying page.

GOAML (Fundmanager) Fund Manager

NEW REPORTS ▾ DRAFTED REPORTS ▾ SUBMITTED REPORTS ▾ MESSAGE BOARD MY GOAML ▾ ADMIN ▾ ? LOGOUT

Active Organizations

Start Date: Monday, January 1, 1900 End Date: Wednesday, May 17, 2017

Drag a column header here to group by that column

Org ID	Org Status	Org Name	Is delegating	agency type	Created On	#
39	Active	Fund 2		37 Securities Dealer	10/26/2016	
38	Active	Fund 1		37 Securities Dealer	10/26/2016	
37	Active	Fund Manager		Securities Dealer	10/26/2016	

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Fund 1 ▾

Change Selected Delegating Organization

Create New Delegating Organization

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Using goAML

Then select ,

Create New Delegating Organisation,
which will bring you to the
accompanying page.

Once on this screen you can complete
the details of the relevant FUND/Loan,
eg ABC1 etc. This application is then
submitted to the FIU for approval

The screenshot shows the goAML web application interface. At the top, there is a navigation bar with the goAML logo on the left and the user role '(Fundmanager) Fund Manage' on the right. Below the navigation bar, there are several menu items: NEW REPORTS, DRAFTED REPORTS, SUBMITTED REPORTS, MESSAGE BOARD, MY GOAML, ADMIN, and LOGOUT. The main content area is titled 'Registration Type' and 'Registering Organization'. It contains a form with the following fields:

- Organization Business Type* (dropdown menu)
- is financial (radio buttons for Yes and No)
- Name* (text input)
- acronym* (text input)
- Incorp. Num (text input)
- Swift/Bic* (text input)
- Commercial Name (text input)
- Incorporation Legal Form (dropdown menu)
- Incorp. City (text input)
- Incorp. State (text input)
- Incorp. Country (dropdown menu)
- Name of holding company (text input)
- Contact Person (text input)
- Email* (text input)
- URL (text input)

At the bottom of the form, there are three expandable sections: Phones (+), Addresses (+), and Reporting Obligation (+).



Using goAML

Once it has been approved the next time the MLRO logs onto GOAML they will have a choice of reporting entities to choose from for their session. See accompanying image

